

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/849,152 **TRANSMITTAL** Filing Date May 4, 2001 **FORM** First Named Inventor JUN 25 ZU Peter McCann, et. al. Art Unit (to be used for all correspondence after initial filing) 2872 **Examiner Name** TECH CENTER 2011 Denise S. Allen Attorney Docket Number KLR 1016.0066

Total Number of Pages in This Submission

Signature

ENCLOSURES (Check all that apply)					
Fee T	ransmittal Form	Drawing(s)	After Allowance communication to Technology Center (TC)		
	Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter		
Amen	ndment/Reply	Petition			
	After Final	Petition to Convert to a Provisional Application			
	Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address			
Exten	sion of Time Request	Terminal Disclaimer	Other Enclosure(s) (please Identify below):		
Express Abandonment Request		Request for Refund	postcard & foreign patents		
Information Disclosure Statement		CD, Number of CD(s)	· <u>·</u>		
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Firm or Individual name  Kevin L. Russe		ssell			
Signature					
Date June 14, 2004					
CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Typed or print	Typed or printed name Kevin L. Russell				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

June 15, 2004

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JUN 25 2004



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 2800

PATENT APPLICATION EXAMINING OPERATIONS

Applicant: Peter R. McCann, et. al. Group Art Unit: 2872

Serial No.: 09/849,152 Examiner

Denise S. Allen

Filed : May 4, 2001

Title : FIBER OPTIC WAFER PROBE

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §1.98

1600 ODS Tower 601 S.W. Second Avenue Portland, Oregon 97204-3157 June 14, 2004

Mail Stop Patent Applications (IDS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants submit herewith Form PTO-1449 (Modified) listing the patents of which applicants are aware and which applicants desire to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits of the above-identified application.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material

to patentability under 37 CFR §1.56(b) unless specifically designated as such.

A list of the patents and publications enclosed herewith is set forth on the attached Form PTO-1449 (Modified).

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,

Kevin L. Russell
Attorney for Applicant
Tel: (503) 227-5631

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Patent Applications (IDS), Commissioner for Patents, P.O. Box 1450, Alexandria, VA., 22313,1450, on June 14, 2004.

Dated: June 15, 2004 Kevin L. Russell

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PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	09/849,152	P1 41 4 -	
Filing Date	May 4, 2001	JUN 25	2004
First Named Inventor	McCann, et. al.		,
Art Unit	2872	TECH OFF	
Examiner Name	Denise S. Allen	TECH CENT	R Zaon
Attorney Docket Number	KLR1016.0066		

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code <sup>2 (# known)</sup>			Figures Appear
	AA	<sup>US-</sup> 5,879,289	Mar. 9, 1999	Yarush, et. al.	
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			Τ <sup>6</sup>
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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